FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Rummelt Andreas						2. Issuer Name and Ticker or Trading Symbol ALEXION PHARMACEUTICALS, INC. [								(Ch	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner						
(Last) (First) (Middle) C/O ALEXION PHARMACEUTICALS, INC					3. D	ALXN ]  3. Date of Earliest Transaction (Month/Day/Year) 07/21/2021									Officer (give title Other (spe below) below)				1		
121 SEAPORT BOULEVARD					$\vdash$	If Amendment, Date of Original Filed (Month/Day/Year)									dividual or 1	oint/Group	Filing	(Check Anr	nlicable		
(Street) BOSTON MA 02210					"										Individual or Joint/Group Filing (Check Applicable Line)      X Form filed by One Reporting Person      Form filed by More than One Reporting Person						
(City) (State) (Zip)																					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																					
1. Title of Security (Instr. 3)  2. Transa Date (Month/Date)						ar)	if any	emed tion Date n/Day/Ye	e, Transa Code	Transaction Di		ecurities Acquired (A) posed Of (D) (Instr. 3,			- Reported	ies Form cially (D) Following (I) (I ed		: Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	(0)		Price	Transact (Instr. 3	on(s) .nd 4)					
Common Stock, par value \$.0001 per share					1/2021						28,109	) <sup>(1)</sup> D		\$0	0			D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																					
1. Title of Derivative Security (Instr. 3)	rive Conversion Date Execution Date, or Exercise (Month/Day/Year) if any			Date, T	4. Transaction Code (Instr. 8)		of Ex		Expiration	s. Date Exercisable and Expiration Date Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Secu (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				c	Code	v	(A)	(D)	Date Exercisab		Expiration Date	Title	0 0	umber							
Option to Purchase Common Stock	\$159.7	07/21/2021			D			2,785	05/05/2015	5(2)	05/05/2024	Com Sto par v \$.00 per s	ck, value 2 001	2,785	\$159.7	0		D			
Option to Purchase Common Stock	\$138.86	07/21/2021			D			2,294	05/11/2017	,(2)	05/11/2026	Com Sto par v \$.00 per s	ck, value 2 001	2,294	\$138.86	0		D			
Option to Purchase Common Stock	\$96.35	07/21/2021			D			3,425	08/07/2013	3(2)	05/07/2023	Com Sto par v \$.00 per s	ck, value 3 001	3,425	\$96.35	0		D			
Option to Purchase Common Stock	\$85.75	07/21/2021			D			3,237	08/08/2012	(2)	05/08/2022	Com Sto par v \$.00 per s	ck, value 3 001	3,237	\$85.75	0		D			
Option to Purchase Common Stock	\$155.01	07/21/2021			D			2,343	05/06/2016	5(2)	05/06/2025	Com Sto par v \$.00 per s	ck, value 2 2001	2,343	\$155.01	0		D			

## **Explanation of Responses:**

- 1. Represents shares of Alexion common stock disposed in connection with the Agreement and Plan of Merger (the "Merger Agreement") dated as of December 12, 2020, by and among Alexion and AstraZeneca PLC. In accordance with the Merger Agreement, upon the First Effective Time (as defined in the Merger Agreement), each share of Alexion common stock was converted into the right to receive (i) 2.1423 American Depositary Shares (ADSs) of AstraZeneca PLC and (ii) \$60.00 in cash (the "Merger Consideration"). Upon the First Effective Time, 2,495 restricted stock units were fully vested and cancelled and converted into the right to receive the Merger Consideration with respect to each share of Alexion common stock subject to such restricted stock units.
- 2. Upon the First Effective Time, these options were cancelled and converted into the right to receive the product obtained by multiplying (A) the excess, if any, of the value of the Merger Consideration over the exercise price per share of the Alexion common stock subject to such options immediately prior to the First Effective Time by (B) the number of shares of Alexion common stock subject to such option immediately prior to the First Effective Time by (ii) the value of the Merger Consideration.

## Remarks:

/s/ Douglas Barry, Attorney-in-Fact for Andreas Rummelt

07/23/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.